

# U. S. COAST GUARD UNINSPECTED PASSENGER VESSEL (UPV) VOLUNTARY 5 STAR SAFETY PROGRAM

Use this form to apply for vessel examination to qualify for one to five stars depending on amount and type of safety equipment carried. Upon successful examination of your vessel, a decal will be awarded.

## For Office Use Only

Date Application Received:

Date Owner Contacted:

Date Decal Awarded:

Name of Examiner:

Location of exam:

Decal Awarded

Decal Number:

Star Rating Awarded:

CA # or CG Document #: \_\_\_\_\_ Coast Guard Issued License Number: \_\_\_\_\_ Vessel Length: \_\_\_\_\_ Year Built: \_\_\_\_\_

Vessel Operational Endorsement: \_\_\_\_\_ HIN Number: \_\_\_\_\_

Make/Builder of Boat:	Hull Material: [ ] Aluminum [ ] Steel [ ] Fiberglass [ ] Wood	Hull Color:	Trim Color:	Cabin Color:
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Vessel Name & Home Port: \_\_\_\_\_ Powered By: \_\_\_\_\_ Primary Area of Operation: \_\_\_\_\_ Harbor and Slip Number: \_\_\_\_\_ Start and End Dates of Operation: \_\_\_\_\_

## OWNER OR OPERATOR INFORMATION

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_ Business Phone: \_\_\_\_\_  
 Business Mailing Address: \_\_\_\_\_ Additional Owners: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

## OWNER OR OPERATOR CERTIFICATION OF STAR RATING

- ONE STAR:** Vessel meets all existing requirements for a Vessel Safety Check (VSC) decal issued by the CG Auxiliary and has a safety training program on emergency procedures and safety equipment use.
- TWO STAR:** In addition to the requirements for one star, vessel has a properly installed high capacity bilge pump and bilge alarm (or equivalent).
- THREE STAR:** In addition to the requirements for two stars, vessel has on board a secondary means of communication (5 watt hand held VHF FM radio, Sat Phone or equivalent).
- FOUR STAR:** In addition to the requirements for three stars, vessel has on board, a properly installed and registered Category 1 or 2, 406 MHz Emergency Position Indicating Radio Beacon (EPIRB).
- FIVE STAR:** In addition to the requirements for four stars, vessel has a properly installed and serviced Coast Guard approved liferaft or Inflatable Buoyant Apparatus (IBA) for 100% of the passengers carried (or equivalent).

Liferaft/IBA Serial No.: \_\_\_\_\_ EPIRB Registration No.: \_\_\_\_\_ Type of Handheld VHF Radio / Sat Phone: \_\_\_\_\_ Bilge Pump Capacity (gallons): \_\_\_\_\_

### PRIVACY ACT STATEMENT REGARDING APPLICATION TO THE 5 STAR SAFETY PROGRAM

In accordance with 5 USC 552a(e), the following information is provided in connection with this request to supply personal information to the United States Coast Guard.

**AUTHORITY** to solicit information: 46USC 4101-4106 and 46USC 4301-4311

**PRINCIPAL** purpose for information: Identification of uninspected passenger vessels for participation in the 5 Star Safety Program.

**ROUTINE USES**, which may be made of this information: Use by authorized Coast Guard personnel involved in administration of the 5 Star Safety Program.

**DISCLOSURE** of this information is voluntary. Failure to furnish requested information may result in non-issuance of a Star Rating decal.

I declare under penalties prescribed under 46 USC 7703 that I am the legal owner or operator of the vessel herein described and the description and all matters stated herein are true. I also acknowledge that transfer of ownership or failure to maintain my vessel in compliance with the voluntary Star Rating awarded requires immediate removal of the decal from my vessel and return to the nearest U.S. Coast Guard Office.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Thank you for participating in the Small Passenger Vessel Voluntary 5 Star Safety Program!**

**CHECK LIST REQUIREMENTS FOR MINIMUM STANDARDS ABOARD UNINSPECTED PASSENGER VESSELS**

<b>Personnel Licensing</b>	YES/ NO/ NA	<b>Oil Pollution Prevention</b>	YES/ NO/ NA
Operator has USCG license (*OUPV or Master) 46 CFR 15.605 & .905	( ) ( ) ( )	Prohibited Discharge Warning Placard (5" X 8") posted in machinery space or at bilge pump control station 33 CFR 155.45	( ) ( ) ( )
USCG License is in operator's possession 46 CFR 26.20-1(a)	( ) ( ) ( )	Proper retention of bilge slops practiced 33 CFR 155.330/350	( ) ( ) ( )
Operators have USCG licensed for waters navigated 46 CFR 15.401	( ) ( ) ( )	Oily mixtures retained on board then discharged at reception facility 33 CFR 155.330/350	( ) ( ) ( )
( ) Local Area ( ) Inland ( ) Great Lakes ( ) Near Coastal ( ) **Oceans [Over 200 miles]		<b>Marine Sanitation Device</b>	
* Operator Uninspected Passenger Vessel (OUPV) License		Is installed device a Certified Marine Sanitation Device (Type I, II or III) 33 CFR 159.7	( ) ( ) ( )
** OUPV endorsed for Oceans route is limited to not more than 100 miles offshore.		Marine Sanitation Device overboard shut off valve for Type III (Holding tank), closed & secured within 3 miles of shore 33 CFR 159.53(c)	( ) ( ) ( )
Sufficient number of operators for two watches (Voyages over 12 hrs only) 46 U.S.C. 8104(b)	( ) ( ) ( )	If Type I or II device, does the device have identification placard attached 33 CFR 159.55	( ) ( ) ( )
<b>Documentation</b>		If Type I device, was it installed before January 30, 1980 33 CFR 159.7	( ) ( ) ( )
Vessels, 5 net tons (26' or more) and over, documented for coastwise trade. 46 CFR 67.15	( ) ( ) ( )	<b>Sound Signals</b>	
Original Certificate of Documentation on board & properly endorsed and valid. 46 CFR 67.7/163	( ) ( ) ( )	Efficient whistle and bell or means of making efficient sound signal 33 CFR 86.239	( ) ( ) ( )
Official number clearly visible on interior structural part of hull in 3 inch high numbers 46 CFR 67.121	( ) ( ) ( )	<b>Drug Testing –</b>	
Vessel name and hailing port marked on stern and port/starboard side of bow 46 CFR 67.123	( ) ( ) ( )	Does company have a drug testing program 46 CFR 16.205	( ) ( ) ( )
<b>Registration</b>		Does the employer have evidence to verify: Pre-employment, serious marine incident, reasonable cause, & periodic tests have been done	( ) ( ) ( )
Original State Numbering Certificate on board 33 CFR 173.21	( ) ( ) ( )	46 CFR 16.210-220	( ) ( ) ( )
State Numbers clearly & permanently marked on each bow in block letters not less than 3 inches in height 33 CFR 173.27	( ) ( ) ( )	Random testing done 46 CFR 16.230	( ) ( ) ( )
<b>General Safety</b>		Aware of actions to be taken when individual fails a chemical test 46 CFR 16.201	( ) ( ) ( )
Safety orientation given (public announcements/instruction placards) 46 CFR 26.03-1(a)	( ) ( ) ( )	Records maintained by company (1 year negative results, 5 years positive) 46 CFR 16.260(a)	( ) ( ) ( )
Adequate emergency checkoff list posted in conspicuous/locations 46 CFR 26.03-2(a) & (b)	( ) ( ) ( )	<b>Intoxicated Operation</b> Aware of prohibition against operating a vessel while intoxicated 33 CFR 95	( ) ( ) ( )
Passenger counts taken 46 U.S.C. 3502	( ) ( ) ( )	<b>Marine Casualty Reporting</b>	
Aware of proper sexual offense reporting 46 U.S.C. 10104	( ) ( ) ( )	Operator aware of marine casualty reporting requirements 46 CFR 4.05-1	( ) ( ) ( )
<b>Communications</b>		Approved alcohol testing devices on board. 46 CFR 4	( ) ( ) ( )
Marine Radio Installed (VHF within 20 miles / Single Sideband > 20 miles)	( ) ( ) ( )	<b>Distress Signals</b>	
FCC Ship/Station license 33 CFR 26.03	( ) ( ) ( )	Day & Night Visual Distress Signals, number required readily accessible (No outdated onboard) 3 & 3 Inland or 3-6-3 Near Coastal 46 CFR 175.120	( ) ( ) ( )
Radio Operators Permit 47 CFR 80.163	( ) ( ) ( )	<b>Lifesaving Equipment</b>	
<b>Navigation</b>		Type I approved life preserver of suitable size for each person aboard 46 CFR 25.25-5(c)(f)	( ) ( ) ( )
Current Charts and Navigation Pubs	( ) ( ) ( )	Retroreflective material on each life preserver 46 CFR 25.25-15	( ) ( ) ( )
Copy of Inland Navigation Rules aboard (>39.4')	( ) ( ) ( )	Personal Flotation device light (Oceans, Coastwise, Near Coastal & Great Lakes Service Only) 46 CFR 25.25-1	( ) ( ) ( )
Proper navigation lights 33 CFR 84	( ) ( ) ( )	Throwable life ring (vessels > 26' = 24"; < 26' = 20") 46 CFR 25.25-5(d)	( ) ( ) ( )
All round anchor light 33 CFR 84	( ) ( ) ( )	Wearable lifesaving equipment readily accessible 46 CFR 25.25-9	( ) ( ) ( )
<b>Fire Fighting Equipment</b>		Throwable lifesaving equipment immediately available 46 CFR 25.25-9	( ) ( ) ( )
Mounted Fire extinguisher(s) USCG approved or UL listed for marine use 46 CFR 25.30(b)	( ) ( ) ( )	Lifesaving equipment in serviceable condition 46 CFR 25.25-11	( ) ( ) ( )
Fire extinguisher(s) name plate attached 46 CFR 25.30-10(d)	( ) ( ) ( )	Lifesaving equipment marked showing USCG approval numbers 46 CFR 25.25-7	( ) ( ) ( )
Minimum number portable fire extinguishers 46 CFR 25.30-20(a)(1) & (b)	( ) ( ) ( )	<b>Discussion Items: as applies</b>	
<b>Engineering Safety Equipment</b>		Float Plan ( ) ( ) ( )	
Backfire flame control properly installed 46 CFR 25.35-1	( ) ( ) ( )	First Aid Kit ( ) ( ) ( )	
Proper ventilation for fuel tanks 46 CFR 25.40	( ) ( ) ( )	Carbon Monoxide Detector ( ) ( ) ( )	
Cooking, heating and lighting systems must be approved 46 CFR 25.45	( ) ( ) ( )	<b>Additional Requirements for the 5 Star Program</b>	
<b>Garbage Pollution Prevention</b>		Training Program on Emergency Procedures & Safety Equipment 46 CFR Subchapter C	( ) ( ) ( )
Garbage placard(s) posted for vsls > 26 ft 33 CFR 151.59	( ) ( ) ( )	Installed Bilge Pump & High Water Alarms	( ) ( ) ( )
Waste management plan for vsls > 40 ft 33 CFR 151.57	( ) ( ) ( )	Additional Handheld VHF or Sat Phone	( ) ( ) ( )
<b>Overall Vessel Condition: as applies</b>		EPIRB 46 CFR 25.26	( ) ( ) ( )
A) Deck Free of Hazards /Clean Bilge	( ) ( ) ( )	Approved Liferaft or IBA for 100% of passengers within servicing limits	( ) ( ) ( )
B) Electrical — Fuel Systems	( ) ( ) ( )		
<b>State and/or Local Requirements</b>	( ) ( ) ( )		
State Commercial Passenger Fishing Boat License CA Section 7920	( ) ( ) ( )		
State Fish & Game Numbers Displayed on boat	( ) ( ) ( )		
State Guide (Operation on State Waters only) License	( ) ( ) ( )		
State Fish Size and Limits Placard	( ) ( ) ( )		