DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD

INCIDENT PERSONNEL PERFORMANCE RATING

PRIVACY ACT STATEMENT

AUTHORITY: USCG is authorized to collect the information pursuant to Emergency Management Manual, Volume IV: Incident Management and Crisis Response, COMDTINST M3010.24; Management of Domestic Incidents, Homeland Security Presidential Directive-5 (HSPD-5); National Preparedness, Presidential Policy Directive-8 (PPD-8); U.S. Coast Guard Emergency Management Manual, Volume I: Emergency Management Planning Policy, COMDTINST M3010.11; 40 C.F.R. § 300.125(a)(b); 14 U.S.C. § 504, Commandant; general powers.

PURPOSE: USCG collects the information to document an Incident Command System (ICS) personnel's performance during an incident, planned event, or exercise.

ROUTINE USES: USCG will use this information to assist the Coast Guard and other agencies in all facets of emergency and incident management to prioritize and gauge the effectiveness of response actions. Information from this form may be disclosed externally pursuant to DHS/USCG-014 Military Pay and Personnel, October 28, 2011, 75 FR 66933.

CONSEQUENCES OF FAILURE TO PROVIDE INFORMATION: Providing this information is voluntary. In order to assist with maintaining confidentiality respondents are advised not to disclose any additional personally identifiable information (PII) in their free-form responses.

identifiable information (PII) in their free-form responses.

Instructions: Immediate supervisor will prepare this form for each person working under their direction. The supervisor doing the rating will review this form with person being rated before that person demobilizes from incident. Both the person doing the rating and the

| ре | erson being rated will sigr | | | | | | | | t. | | |
|----|---|---|------------------------|---------|-----------------------------------|---------|-----------|-----------------------|----|--|--|
| | | | NDIVIDUAL'S F | PERF | ORMANCE ON AN | INCIDE | NT OR EVE | NT ONLY | | | |
| 1. | Name of Rated Individual: | | | 2. Ir | 2. Incident Name: | | | | | | |
| 3. | Rated Individual's Phone Number/Email: | | | 4. L | 4. Location of Incident: | | | | | | |
| 5. | Position Assigned: | 6. Dates of Assignment From: To: | | | 7. Incident Start Date: 8. Incide | | ent Type: | pe: 9. Incident Kind: | | | |
| | <u> '</u> | 10111. | | 0 FV | ALUATION | | | | | | |
| | Measured Standards | | | | | | | | | | |
| | Rating Factors | | Rating Standard | | Failed | Met | Exceeded | | | | |
| A. | ICS Familiarity | Understands & properly employs NI follows ICS procedures, terminology chain of command. | | | gy, organizational structure & | | | | | | |
| В. | Positional Competence | Knowledgeable of position roles & responsibilities; assumed position & took appropriate action; produced desirable results helped achieve incident objectives. | | | | | | | | | |
| C. | Judgement & Decision-making | Makes informed, accurate decisions; ensured legal & ethical compliance; understands socio-economic, political, and cultural consideration; use pertinent analysis to make recommendations/set priorities. | | | | | | | | | |
| D. | Situational Awareness | information. | s, & disseminate situa | ational | | | | | | | |
| E. | Collaboration & Coordination | Establishes inclusive, effective, working relationships with personnel & stakeholders; coordinates well with others; ab concurrence through collaboration. | | | | to gain | | | | | |
| F. | Adaptability | Modifies actions/efforts as necessary; adjusts well to changing incident complexity. | | | | ng | | | | | |
| G. | Leadership & Supervision | Provides support; model leadership values/principles; effectively leads others in crisis; properly establishes & monitors assignments & performance expectations. | | | | | | | | | |
| Н. | Communication | Effectively disseminates & processes information; provides clear/concise messaging; able to express priorities objectives, operations & expectations. | | | | | | | | | |
| I. | Resourcefulness | Employs the right tools & resources; use networks to locate resources; use initiative to overcome challenges. | | | | | | | | | |
| J. | Unity of Effort | Emphasizes tea | mwork to achieve | e obje | ctives. | | | | | | |
| K. | Safety, Well-being & Risk Management | Anticipates, recognizes & mitigates unsafe situation ensures personnel safety/well-being. | | | afe situations; consis | tently | | | | | |
| | Overall Performance in Assigned Position | Satisfactorily performs standard position-specific responsibilities according to the USCG Incident Management Handbook (CG-IMH). | | | | | | | | | |
| | . Responsibilities and Notab | · | | | | | | L40 D.: | | | |
| | 12. Rated Person (Signature). This rating has been discussed with me: | | | | | | 13. Date: | | | | |
| 14 | . Supervisor Position: | 15. Supervisor: | (Printed Name) | | 16. Supervisor: (Sign | ature) | | 17. Date: | | | |

GENERAL INSTRUCTIONS

Purpose. The Incident Personnel Performance Rating (ICS 225-CG) gives supervisors the opportunity to evaluate subordinates on incident assignments. THIS RATING IS TO BE USED ONLY FOR DETERMINING AN INDIVIDUAL'S PERFORMANCE ON AN INCIDENT/EVENT.

Preparation. The ICS 225-CG is normally prepared by the supervisor for each subordinate using the evaluation standard given in the form. The ICS 225-CG will be reviewed with the subordinate who will sign at the bottom of the form.

Distribution. The completed ICS 225-CG is duplicated and a copy is given to both the supervisor and the person being rated. All original forms MUST be given to the Planning Section – Documentation Unit.

| Item Title Name Incident Name | Description Enter the name of the person being evaluated. |
|--|--|
| Incident Name | · |
| | |
| | Enter the name assigned to the incident. |
| Rated Individual's Phone Number / Email | Enter the phone number and email of the person being evaluated. |
| Location of Incident | Enter the location of the incident / City and State or Country if applicable. |
| Position Assigned | Enter the ICS position the person was assigned and being evaluated for. |
| Dates of Assignment | Enter the starting date and end date of the person's assignment. |
| Incident Start Date | Enter the date the incident started. |
| Incident Type | Enter the Incident Type (size) / ICS Types 3, 2, or 1. |
| Incident Kind | Enter the kind of incident: Oil/Hazmat, SAR, Fire, Hurricane, etc. |
| Evaluation | Enter X in the appropriate rating for each category using the scale provided. The scale consists of: 1. Failed to Meet Standard – Needs Improvement – may meet some of the requirements of the individual element. Identify areas of improvement in comments in Box 11. 2. Met Standard – Satisfactory – Subordinate met all requirements of the individual standard. 3. Exceeded Standard – Superior – Person being evaluated consistently exceeds the performance requirements. |
| Responsibilities and Notable Accomplishments | Provide brief summary of person's performance identifying strengths, areas for improvement, and notable accomplishments. |
| Rated Person (Signature) | Review the ICS 225-CG with the person being rated and have the rated person sign the form. |
| Date | Enter the date that the person being rated signed the form. |
| Supervisor Position | Enter the position the supervisor doing the rating held on the incident. |
| Supervisor (<i>Printed</i> Name) | Enter the full name of the supervisor doing the rating. |
| Supervisor (Signature) | The supervisor should sign the form after the rated person is reviews the form. |
| Date | Enter the date the supervisor signs the form. |
| | Location of Incident Position Assigned Dates of Assignment Incident Start Date Incident Type Incident Kind Evaluation Responsibilities and Notable Accomplishments Rated Person (Signature) Date Supervisor Position Supervisor (Printed Name) Supervisor (Signature) |